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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/814,561

03/22/2001

Stephan J. Mallenbaum

699820301604

CONFIRMATION NO. 4492

FORMALITIES LETTER

OC00000006015585

John V. Biernacki, Esq. Jones, Day, Reavis & Pogue North Point, 901 Lakeside Avenue Cleveland, OH 44114

Date Mailed: 04/27/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE







PATENT Attorney Docket: 699820301604

In The United States Patent and Trademark Office

In re Application of: Stephan J. Mallenbaum

Serial No.: 09/814,561

Filed: March 22, 2001

in

For: LIQUIDITY PREFERRED STOCK

Group Art Unit: 2164

Examiner: Not Yet Assigned

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Sir:

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

In response to the Notice to File Corrected Application Papers, dated April 27, 2001, a copy of which is returned herewith, enclosed is the following paper relating to the above-identified application:

• Substitute drawings in compliance with 37 CFR 1.84.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date indicated below.

Suzanne Koston

Name

Signature

CL: 576953v1

In the event additional fees are due, please charge the required fees to the Jones, Day, Reavis & Pogue Deposit Account No. 501432, Ref. 699820301604.

Respectfully submitted,

John M. Biernacki Reg. Mo. 40,511

JONES, DAY, REAVIS & POGUE

note 6/7/01

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